Docket No.: GR 98 P 3185

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, 20231, on the date indicated below:

IPE

By

Date:\_

December 20, 2000-

BEC 2 6 2000

STHE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Manfred Meintker

Appl. No.

09/665,452

Filed

September 19, 2000

Title

Method and Apparatus for Transferring an Article Between Fluid-

Filled Vessels

Attention

Licensing & Review

## LETTER

Hon. Commissioner of Patents and Trademarks, Washington, D. C. 20231

#### Sir:

Responsive to the letter dated November 15, 2000, from Licensing and Review, the required declaration under Section 152 of the Atomic Energy Act of 1954 is submitted herewith.

Respectfully submitted,

\_

Reg. No. 40,71

or Applicant(s)

Gregory I Mawhack

bate: December 26,52000

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### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Offic

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

DATE MAILED:

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT		ATTY. DOCKET NO.
09/665,452	09/19/00	MEINTKER	М	GR 98 P 3185

LERNER AND GREENBERG PA POST OFFICE BOX 2480 HOLLYWOOD FL 33022-2480



**EXAMINER ART UNIT** PAPER NUMBER 3641

11/15/00

RECEIVED NOV 2 0 2000

### IF NO RESPONSE TO THIS NOTICE IS RECEIVED WITHIN FORTY-FIVE DAYS, A FORMAL REQUIREMENT WILL BE ISSUED

The subject matter of this application appears to:

be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182 (Department of Energy (DOE)).

□"have significant utility in the conduct of aeronautical and space activities" as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA)).

Accordingly, no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency (ies) noted above. On the reverse side of this form is an example of an acceptable format for this statement. The language appearing in paragraphs III and/or IV of the example must appear if applicant is attempting to establish that no relationship (under item 2 above) exists.

If the invention disclosed in this application was developed under a contract, grant or cooperative agreement between the Agency indicated above and a person, small business or non-profit organization and rights to the invention have been determined by specific reference to 35 U.S.C. 202 in the contract, grant or cooperative agreement, then applicant need not submit the statement described above. Instead, applicant may file a verified statement (under oath or in the form of a declaration, 37 CFR 1.68) setting forth the information required by 35 U.S.C. 202(c)(6).

IF NO STATEMENT HAS BEEN RECEIVED WITHIN FORTY-FIVE DAYS OF THE MAIL DATE INDICATED ABOVE, a formal requirement for statement will then be issued. No provision is made for extension of the statutory thirty-day period for response to the formal requirement and the penalty for failure to file an acceptable and timely statement is abandonment of the application. Therefore, applicants are strongly encouraged to submit a statement at this time in order to avoid the issuance of a formal requirement.

IT IS IMPORTANT TO NOTE that the statement must accurately represent the property rights situation of the claimed invention if and when the application is found allowable. Thus, if during prosecution before the examiner, the claimed invention is so altered or the property rights situation so changed as to impact the accuracy of a statement submitted earlier, a supplemental statement must be filed. Failure to submit such additional information where appropriate may be considered a false representation of material facts and render the patent owner vulnerable to loss of patent rights and other sanctions as set forth in the statutes. The PTO will not review allowed applications for this possibility. The responsibility for complying with the statutes rests with the applicants.

Any questions regarding this requirement should be directed to Licensing and Review at (703) 306-4191.

# PLEASE DIRECT ALL COMMUNICATIONS RELATING TO THIS MATTER TO THE ATTENTION OF LICENSING AND REVIEW

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

The following is an example of an acceptable property right suitable for situations in which NO Agency funds or other of the invention. While this example is in the form of a de-	hts statement. Statements of this type are, of course, only considerations were involved in the making or conception eclaration, a sworn document is equally acceptable.				
I (We)					
citizens of					
residing at					
declare:					
That I (we) made and conceived the invention described a	nd claimed in patent application:				
Serial Numberfiled in the United States of America on					
titled					
(Check and complete either I or II below)	(Check III and/or IV below as appropriate)				
☐ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed	That to the best of my (our) knowledge and belief:				
by	☐ III.The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.  —AND/OR— ☐ IV.The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.				
and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are					
and that all statements made on information and belief are be with the knowledge that willful false statments and the like so Section 1001 of Title 18 of the United States Code and that the application or any patent issuing thereon.	ents made herein of his or her (their) own knowledge are true elieved to be true and further that these statements are made made are punishable by fine or imprisonment, or both, under it such willful false statements may jeopardize the validity of				
Inventor's Signature:					
Post Office Address:					
Date:					
Inventor's Signature:					
Post Office Address:					
Date:					